

Annual Certification

The purpose of this technical notice is to inform customers that London Stock Exchange Group has amended its Annual Certification Policy. The following changes are applicable with immediate effect.

1.0 Amendments to the Policy

Customers are required to certify their software twice per year per venue¹. At least one of these must be a full certification test, the other can be written confirmation to the relevant venue that the software has not changed. The written confirmation must include the name and version number of the software that is being certified. The certification period will run from 1 January to 31 December each year. The first certification must be completed between January and June. The second between July and December.

All certification tests that have been successfully completed since 1 January 2015 will count as a valid certification for the present year.

The policy is applicable to all Trading and Information software connected to any of the following venues: London Stock Exchange, Turquoise Global Holdings Limited, Borsa Italiana, EuroTLX, London Stock Exchange Derivatives Market (LSEDM) and Borsa Italiana IDEM.

2.0 Recertification Policy

Customers are reminded that they are required to complete a full certification test under the following conditions:

- The customer modifies the software in any way that directly impacts a London Stock Exchange Group interface. This includes but is not limited to updates to Gateways, Order Management, Execution Management and Quote Management Software;
- London Stock Exchange Group upgrades its Production environment to a later version of software which impacts customer facing functionality;
- The software certification period has expired;
- The customer is required to recertify their software by the relevant venue.

¹ In the case where a single product is in use across venues and the customer interface is identical, i.e. the Group Ticker Plant (GTP), customers will only be required to test twice per year on one of the venues they connect to. This certification will be 'passported' across venues.



Technical Notice

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3.0 Updated Documentation

All venue specific testing guides have been updated to reflect the changes outlined in this service announcement and can be found at the following locations:

- [London Stock Exchange Technical Library](#)
- [LSEDM Document Library](#)
- [Borsa Italiana Trading Services - Millennium IT](#)
- [Borsa Italiana Trading Services –SOLA IDEM](#)
- [Turquoise Document Library](#)
- [EuroTLX Technical Information](#)
- [Group Ticker Plant](#)

4.0 Contact Details

If you have any queries about this notice, please contact your Technical Account Manager or the Technical Account Management Team on +44 (0)20 7797 3939 or via londontam@lseg.com.