
Anti-Money Laundering Declaration

July 2015

globeSettle S.A. – Declaration relating to the combat against money laundering (AML) and terrorism financing (CFT)

The Client

.....
(registered corporate name of the Client)

hereby confirms that:

- it is an institution duly authorized and effectively supervised by the prudential supervisory authorities of its country of establishment;
- it is duly authorized and empowered to hold securities accounts with globeSettle;
- it is not shell bank and is established in a jurisdiction which is a member of the Financial Action Task Force (FATF);
- it is not established in a jurisdiction which, according to the listings published by the FATF, is a high risk and non-cooperative jurisdiction, i.e. a jurisdiction which has strategic AML/CFT deficiencies and to which counter-measures apply or a jurisdiction with strategic AML/CFT deficiencies that has not made sufficient progress in addressing the deficiencies or have not committed to an action plan developed with the FATF to address the deficiencies;
- it is subject to and complies with applicable laws and regulations relating to the combat against money laundering (AML) and terrorism financing (CFT), including client due diligence obligations and obligations relating to the cooperation with public authorities, and has implemented written procedures and internal control mechanisms in order to ensure compliance with such laws and regulations;
- it applies ongoing monitoring measures, including regular screenings of the sanctions lists issued by supervisory, judiciary and other authorities and ensures that its customer files are checked regularly;



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- it does and will not hold assets on behalf of customers or beneficial owners of customers (i) domiciled in a jurisdiction which, according to the listings published by the FATF, is a high risk and non-cooperative jurisdiction or (ii) which are subject to EU, UN or US sanctions;
- it is subject and complies with the provisions of the EU directive 2005/60.

(Place and date)

(Place and date)

(Signature of the Legal Representative)

(Signature of the Legal Representative)

(First name and family name)

(First name and family name)

(Title of the Legal Representative)

(Title of the Legal Representative)