
Turquoise Notice

19 March 2019



Brexit Transaction Reporting Contingency Arrangements

The purpose of this notice is to provide relevant members of Turquoise trading venues with information about transaction reporting in a Hard Brexit scenario. This includes Turquoise Global Holdings Limited (“TGHL”) and Turquoise Global Holdings Europe B.V (“TGHE”), collectively referred to as “Turquoise trading venues”.

Turquoise trading venues are required to transaction report on behalf of “Third Country Members” to relevant regulators in the event the UK was to leave the EU without an adequate transition deal and/or other arrangements in place to allow cross-border services between the EU and UK. Third Country Members will either be:

- Members of TGHL that are not, or will not be, subject to UK MiFIR; and
- Members of TGHE that are not, or will not be, subject to EU MiFIR.

Turquoise has partnered with UnaVista’s ARM for Turquoise trading venue transaction reporting required under UK MiFIR and EU MiFIR. Accordingly:

- Third Country Members currently using UnaVista for their MiFIR transaction reporting should engage with their UnaVista contact to discuss additional details around Turquoise trading venue reporting, including connectivity and submission preferences; and
- Third Country Members that do not use UnaVista will be required to submit their transaction reports directly to Turquoise via sFTP.

A Third Country Transaction Reporting Member Guide has been published on the Turquoise website at the link below:

<https://www.lseg.com/markets-products-and-services/our-markets/turquoise/information-centre/document-library>

We require Third Country Members to complete the attached Member Firm Declaration Form and return this to the Membership team at membership@lseg.com.

For further information on the sFTP set up or to request access to the sFTP for transaction reporting, please contact the Market Access team at marketaccess@lseg.com



London
Stock Exchange Group

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If you have any queries about this notice, please contact your Technical Account Manager or the Technical Account Management team on +44 (0) 20 7797 3939 or at londontam@lseg.com.

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Additional Information

Contact Details

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