
Turquoise Notice

23 August 2019



London
Stock Exchange

Brexit Preparation - Transaction Reporting Contingency Arrangements and CDS Availability

The purpose of this Notice is to provide a reminder of Turquoise's transaction reporting requirements for Third Country Members in a Hard Brexit scenario.

In the event that no transition or other agreement is reached before the United Kingdom's withdrawal from the European Union on 31 October 2019 (Hard Brexit), Turquoise venues expect to be required to transaction report to the relevant National Competent Authority (NCA) on behalf of an increased number of "Third Country Member Firms".

A Third Country Transaction Reporting Member Guide has been published on Turquoise website at the link below:

<https://www.lseg.com/markets-products-and-services/our-markets/turquoise/information-centre/document-library>

For those Third Country firms that have yet to do so, they are reminded to complete the attached Member Firm Declaration Form and return this to the Membership team at membership@lseg.com.

We are also informing customers that transaction reporting is expected to be available on the Customer Development Service (CDS) during mid- September through to the current Brexit date of 31 October 2109. An exact date and testing details will be communicated in a future announcement at the beginning of September 2019.

Third Country Member Firms are also requested to reach out to their Technical Account Manager or the Technical Account Management team to begin readiness and onboarding preparations. All affected customers should note that testing is mandatory and must be completed before accessing the service in Production.

For further information on the SFTP set up or to request access to the SFTP for transaction reporting, please contact the Technical Account Management team.

The Technical Account Management team can be contacted on +44 (0) 20 7797 3939 or londontam@lseg.com.

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Relates to

Environment(s)

- Customer Development Service (CDS)

Additional Information

Contact Details

London TAM
londontam@lseg.com

Customers are reminded that Turquoise may broadcast test data from its Production environment at the weekends which should be discarded and not processed.