



ADDENDUM TO MODEL B SETTLEMENT AGREEMENT – CONFIRMATION OF SETTLEMENT ARRANGEMENTS

This declaration is to confirm the subsistence of the relationship between the parties for the purposes of the Rules of the London Stock Exchange plc (“the Rules”) and compliance therewith. It forms part of the Model B Settlement Agreement between the parties and is binding on them.

1. Parties to the agreement:

Full Name of introducing firm

Full Name of Model B firm

employs

Member ID of introducing firm

in the capacity of a Model B firm, as defined in the Rules.

The parties agree that this arrangement is applicable to all on Exchange business as defined in rules 2000 and 3000 of the Rules. This arrangement is effective from (Date). Any on Exchange business that is excluded from this arrangement is listed below:

2. Identification details for Model B firm:

Settlement identifier code¹	Settlement system

¹Note: The Settlement identifier code may be a CREST code or an Account code depending upon the term used by the settlement system being used.





3. Signatures:

For and on behalf (Introducing firm)	
The introducing firm hereby confirms that any changes to the information listed in this Addendum will be notified to the London Stock Exchange plc in accordance with rule 1051 of the Rules.	
Signature	
Name	
Position	
Date	

For and on behalf (Model B firm)	
The Model B firm hereby confirms that it is either the owner of the settlement account nominated in this addendum, or, in the event that the settlement account to be used is that of another company, that it has received confirmation from that company that it may use such settlement account.	
The Model B firm hereby agrees that it will indemnify the London Stock Exchange plc in respect of any liability it incurs as a result of the Model B firm failing to obtain confirmation from a settlement account owner that it may use such settlement account.	
Signature	
Name	
Position	
Date	

