

Non-Display and Other Application Usage

Customer Declaration

Version 10

This document is a declaration required for customers to specify their Non-Display and Other Application usage of real time London Stock Exchange data.

If you are in receipt of London Stock Exchange data, delivered directly or via a vendor, but do not use the data in respect of the activities detailed within this document, you are still required to sign and complete sections 1, 3 and 6 of this declaration.

All completed and scanned declarations should be emailed to: marketdata@lseg.com

If you prefer to mail us a hard copy, then please send to:

Market Data Administration
Real Time Data Team
London Stock Exchange Group plc
10 Paternoster Square
London
EC4M 7LS

If you require further policy clarification in respect of the use of real time data detailed within this document or any guidance on how to complete this declaration, then please contact the London Stock Exchange Real Time Data Business Development Team on +44(0) 20 7797 3699, or email: marketdata@lseg.com



Section 1: Customer Details

| | |
|----------------------|-------------|
| Company Name: | |
| Contact Name: | Position: |
| Telephone: | Email: |
| Street Address: | Town: |
| County: | Post Code: |
| Country: | VAT Number: |

Please list all subsidiaries to be covered by this declaration in Appendix A.

Section 2: Invoice Details (if different from customer details above)

| | |
|----------------------|-------------|
| Company Name: | |
| Contact Name: | Position: |
| Telephone: | Email: |
| Street Address: | Town: |
| County: | Post Code: |
| Country: | VAT Number: |

Section 3: Source Of Data (e.g. market data vendor, direct connectivity, etc.)

We require customers to identify each data source used in respect of Non-Display and Other Application business activities detailed in Section 4. We also request that customers who do not use the data in respect of the activities detailed in this declaration still specify where they are in receipt of vendor and/or direct data feeds. Please state this information below:

Section 4: Non-Display Usage

Where appropriate, **Customers** are required to classify use of data in non-display '*trading based activities*'.

Examples of 'trading based activities' include but is not limited to usage of Data as: semi-automated or automated order/quote generation; order pegging including reverse pegging, mid bid / offer pegging, limit order pegging; price referencing for trading purposes (excluding in the operation of an ATP); smart order routing to facilitate trading; arbitrage; order management; execution management, pre trade risk checks; electronic order flow and liquidity management system; market making; 'black box' trading; program trading; algorithmic trading¹; operating multilateral trading facilities/dark pools, systematic internalisers.

Non-Display Usage Licence Charges are applicable also if in conjunction with the display of Data.

All **Customers** are required to complete, as appropriate, the type and level of London Stock Exchange real time data used for non-display purposes:

Principal

- Customers whose internal Non-Display Usage is for the purpose of (or includes) trading *based activities* as 'principal'.

Client Facilitation

- Customers whose internal Non-Display Usage is for the purpose of (or includes) *trading based activities* to facilitate customer business.

Trading Platforms

- Customers whose Non-Display Usage is for the purpose of (or includes) the operation of trading platforms including but not restricted to systematic internalisers / multilateral trading facilities.


London Stock Exchange

| | | Principal | Client Facilitation | Trading Platforms |
|--|---------|--------------------------|--------------------------|--------------------------|
| UK market Data | Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| International market Data | Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ETF / ETP | Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| AIM | Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| TRADEcho | Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| LSEDM Equity Derivatives data | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| CurveGlobal Interest Rate Derivatives products traded on LSEDM | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Order Book for Retails Bonds (ORB) | Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Please tick the following box if you are a Member of London Stock Exchange:

Please tick the following box if you are a new Customer of a Non-Display Usage Licence above, as you may be eligible for an initial 50% discount of the applicable Charges for the first 12 months from the Effective Date of the Order subject to the terms of the Agreement: .

For the detailed pricing policy for these types of usage please refer to <http://www.londonstockexchange.com/products-and-services/market-data/realtimedata/pricesandpolicies/pricesandpolicies.htm>

Section 5: Other Application Usage

Other Applications usage only applies to non trading-based customer activities.
Other Application Usage Licence Charges are applicable also if in conjunction with the display of Data.

Please complete, as appropriate, the type and level of London Stock Exchange real time data and the number of applications in which such data is used for the purposes of any non-trading based activities, including but not limited to: risk management, quantitative analysis, fund administration, portfolio management.

| | 1-3 Applications | 4-6 Applications | 7-10 Applications | Unlimited Applications |
|---------------------------|--------------------------|--------------------------|--------------------------|---------------------------|
| LSE UK Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| LSE UK Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| LSE International Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| LSE International Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ETF / ETP Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ETF / ETP Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| AIM Level 2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| AIM Level 1 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| TRADEcho | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Where you have not used the "Level 2" data in the maximum number of applications, you may use the same type of "Level 1" data in the remaining number of applications permitted for that type of "Level 2" data.

For example: if you use "LSE UK Level 2" data in two applications and "LSE UK Level 1" data in one application, then the appropriate banding to tick is "1-3 Applications" for "LSE UK Level 2".

Please provide details of any Other Usage in the space below

For the detailed pricing policy for these types of usage please refer to

<http://www.londonstockexchange.com/products-and-services/market-data/realtimedata/pricesandpolicies/pricesandpolicies.htm>

Section 6: Customer Declaration - No Data Use in respect of 'Non-Display' and/or 'Other Application' Business Activities

Please tick the following box if your use of data excludes the business activities detailed in either Section 4 (Non-Display) or Section 5 (Other Application Usage) .

Section 7: For Acceptance

The Customer agrees to pay the charges for non-display usage as detailed in the Real Time Market Data Price List, available on the London Stock Exchange website at the link below, which may be amended from time to time:

<http://www.londonstockexchange.com/products-and-services/market-data/realtimedata/pricesandpolicies/pricesandpolicies.htm>

The Customer acknowledges that the fees for using London Stock Exchange plc's data in Non-Display and Other Applications shall be applicable from 1 January 2011. Unless otherwise stated in the box below, the customer shall be liable to pay the applicable fees from the above date.

| | | | |
|-------------------------------|-----------|----------|---|
| Non-Display Start Date: (Day) | / (Month) | / (Year) | / |
|-------------------------------|-----------|----------|---|

| | | | |
|--------------------------------------|-----------|----------|---|
| Other Applications Start Date: (Day) | / (Month) | / (Year) | / |
|--------------------------------------|-----------|----------|---|

The customer declares to the Exchange that it is/is not, where appropriate, in receipt of Exchange data for the purpose of usage in respect of the business activities declared above.

The customer undertakes to promptly inform the Exchange at marketdata@lseg.com of any changes to the information given above.

The customer agrees that the charges applicable to the above mentioned usage types shall be billed by the Exchange directly to the customer and paid by the customer directly to the Exchange.

The customer hereby agrees that for the above usage types it will directly pay the Exchange, upon receipt of the relevant invoice from the Exchange, in accordance with the terms provided on the relevant invoice.

Invoicing will operate on a quarterly basis, chargeable three months in advance. Charges apply on an enterprise-wide basis to Customers, defined as a single entity or a group of entities within the same legal entity. Both parties may terminate this agreement at any time by giving the other party at least 90 days written notice.

Any request by the customer for repayment of overpaid Non-Display and/or Other Application Usage fees, based on an inaccurate Customer Declaration, must be made within three calendar months after the date the Customer signed the declaration. The Customer is only entitled to credits within that three month period.

01 January 2019



The personal data provided in this declaration will be used by the Exchanges, and/or any of their respective Subsidiaries (together with the Exchanges, the “Group”) for the purposes of providing the products, services and data that you have ordered and enabling the Group to perform its business activities in accordance with Privacy Policy of the London Stock Exchange and Borsa Italiana (as applicable), available on their respective websites. You agree and confirm that you have procured for an appropriate notice to be made available to the relevant data subjects and have obtained any necessary consents or authorisations required to permit Group to use such personal data for such purposes.

By ticking the box(es) below, you also agree to the personal data provided being used:

by the Exchanges to send messages about similar products and services of the Exchanges; and

by the Group to send messages about Group products and services,

and confirm that you have obtained all necessary consents from the relevant data subjects to permit Group to carry out the marketing activity set out in this paragraph.

Signed on and behalf of the customer:

Position:

Name:

Date:
