

CBSS

Cross Border Securities Services

Fiscal Operational Guide: UNITED STATES - Appendix 2
Version : January 2012



US - MT551

PROCEEDS ON SECURITIES USA - RATE ADJUSTMENT REQUEST

1. Monte Titoli participant

Account Number (in MT)			
QI EIN / FTIN: ¹			
Contact Name		Phone	
		Fax	

2. Financial instrument

ISIN Code		Full description	
MT special number ID		Pay Date	

3. Rate Adjustment data request:

Date:		Quantity of Equities:		Adjustment Amount:	
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	Incorrect data	Correct data
Withholding tax rate		
Beneficiary ²		
Exemption code ³		

The Participant declares that it has obtained from the beneficial owners all relevant waivers or consents related to secrecy and confidentiality of the data communicated to Monte Titoli herewith, according to the applicable data protection law.

Best Regards

Monte Titoli Participant
Stamp and Signature

Date: _____

¹ please insert the QI-EIN or the FTIN present on the form W-8IMY sent to Monte Titoli.
² please insert the tax identification number (FTIN) sent to Monte Titoli by the forms W-8BEN/W-8ECI/W-8EXP or (SSN/EIN) sent to Monte Titoli by the form W9.
³ Please insert the exemption code present on the instruction form 1042-S, if withholding tax rate in column 'correct data' is 0 and there is not any Beneficiary specified (see previous note).