

CBSS

Cross Border Securities Services

Fiscal Operational Guide: UNITED STATES - Appendix 1
Version : January 2012

US - MT550



PROCEEDS ON US SECURITIES - WITHHOLDING STATEMENT¹

1. Monte Titoli participant

Account Number (in MT)			
QI EIN / FTIN: ²			
Contact Name		Phone	
		Fax	

2. Financial instrument

ISIN Code		Full description	
MT special number ID		Pay Date	
Quantity / Nominal amount ³			

3. instructions

Rate	Quantity / Nominal amount	Beneficiary ⁴ (FTIN/SSN/EIN)	Exemption Code ⁵

The Participant declares that it has obtained from the beneficial owners all relevant waivers or consents related to secrecy and confidentiality of the data communicated to Monte Titoli herewith, according to the applicable data protection law.

Best Regards

Date: _____

Monte Titoli Participant
Stamp and Signature

¹ The withholding statement must be filled in only by QI (with the exception of QI with full responsibilities) and NQI. The non-receipt from Monte Titoli within 2 business days before the date of payment, will involve the application of the maximum withholding rate.

² please insert the QI-EIN or the FTIN present on the form W-8IMY sent to Monte Titoli.

³ Please insert the quantity of equities or the total nominal amount of bonds object of request.

⁴ please insert the Tax Identification Number sent to Monte Titoli by the forms W-8BEN/W-8ECI/W-8EXP/W9 as follow:

1. QI without any responsibilities = compulsory for US beneficiaries
2. QI with NRA responsibility= compulsory for US beneficiaries
3. NQI= compulsory for US and NRA beneficiaries

⁵ please insert the exemption code present on the instruction form 1042-S, if withholding rate = 0 and there is not any Beneficiary (see previous note)