ISIN

Bulk Pre-Allocation Service

London
Stock Exchange Group

ISIN Pre-Allocation

Introduction

Clients who issue their own instruments, require a ISIN code within their own instrument creation workflow. To facilitate this, UnaVista provides pre-allocation for the client to use in their internal processes. Once the codes have been allocated to an instrument by the client, it is then the client's responsibility to load the new instrument information, including ISIN code, back into the SEDOL Masterfile database (SMF) on UnaVista.

Each loaded file is processed and validated against SMF via our standard Bulk Upload procedure, with additional verification related to ownership of the allocated codes. Where validation fails, the client is informed via an automated email and they will be expected to manage their upload errors which are found within the Error Folder.

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Benefits of the Pre-Allocation Service

Improve your operational efficiency

Pre-allocation means access to unique, ready to go ISIN codes when you need them, without worrying about duplication or inaccuracy.

Near real time allocation

Our bulk allocation service provides you with the ISIN codes in near real-time, so you don't have to worry about delays to your processing.

Easy to use software

Our ISIN pre-allocation service is hosted London Stock Exchange Group's award-wining UnaVista platform, providing users with an easy to use, established platform accessible globally, 247.

Exchange's 20 years experience as a National Numbering Agency (NNA)

London Stock Exchange has over 20 years of experience in issuing accurate, timely and reliable ISIN codes to the market as well as being member Founding member of the Association National Numbering Agencies (ANNA).

Regulated Data Centres and Technology.

UnaVista is regulated under EMIR and data is all stored in the London Stock Exchange's regulated data centres, ensuring highest level of data protection.

Comply with regulation using the CFI Code

As part of the ISIN allocation process CFI codes are also issued inline with industry guidelines, therefore helping firms with reporting for regulations such as MiFID and EMIR.

Data that is up to standard

The London Stock Exchange fully complies to recognised Industry practices inline with ISO standards: ISO10962 Classification of Financial Instruments (CFI), ISO 6166 International Securities Identification numbering system (ISIN), and ISO10383 Market Identifier Codes (MIC)
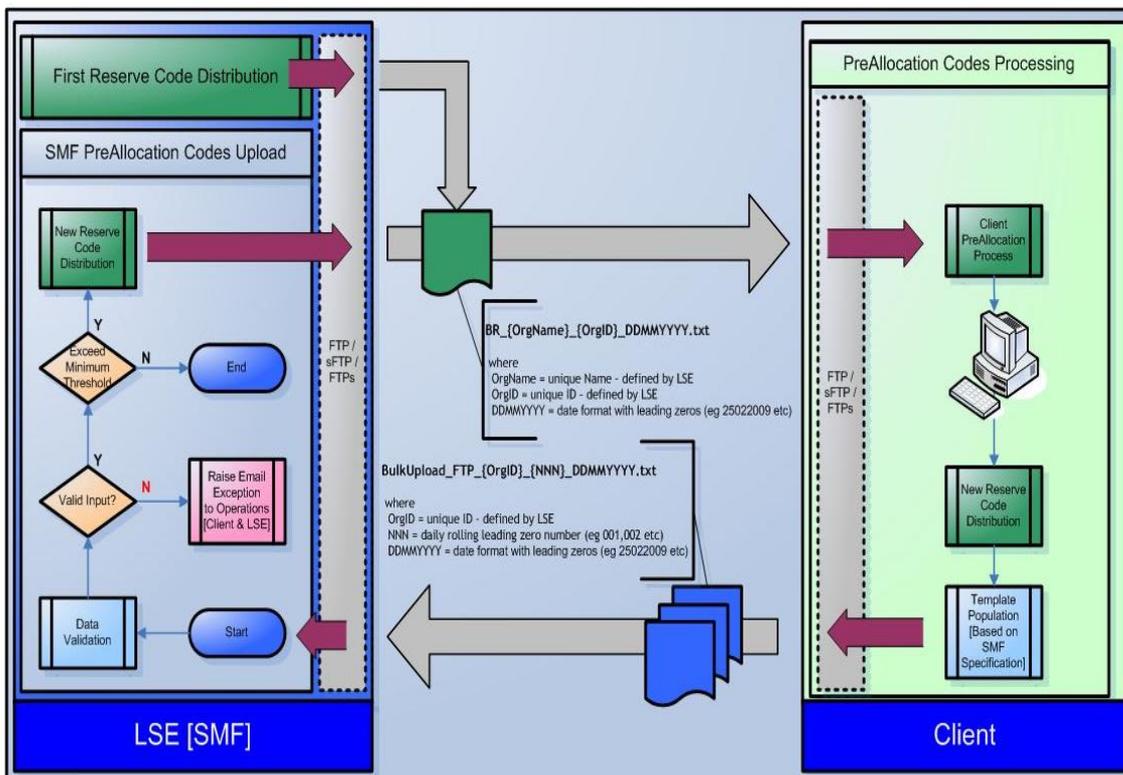
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Process Overview

Pre-allocated codes are supplied to the client inside a tab separated template. Codes can be sent manually via email or automated via FTP. Our template used to deliver the pre-allocated codes is the same template which requires population by the client and, once populated, is then uploaded back into the SMF system.

The upload process can be manual or via FTP. Once uploaded, this file is then validated against our sophisticated rule engines and any data failing will reject. The client is then able to correct any errors and re upload thus ensuring that the data meets our stringent validation checks before it is disseminated for public consumption.

Upon successful completion the client receives a report notifying that the upload has been processed which would also indicate that the data is available publically. This report is delivered via email and will also be available to view via our UnaVista platform.

As pre-allocated codes are used the number of pre-allocated codes available to the client is reduced. Subsequent batches of pre-allocated codes are then automatically released to the end-user when a pre-agreed threshold limit is reached. When breeched, a new batch of pre-allocated codes is generated automatically and delivered to the client via FTP, ensuring that you never run out of codes.

All data is compliant with ISO standards, ISO10962 Classification of Financial Instruments (CFI), ISO 6166 International Securities Identification numbering system (ISIN), ISO10383 Market Identifier Codes (MIC)

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