

IOB Clearing Static Data Form

This form should be completed by London Stock Exchange (the Exchange) member firms to establish clearing and settlement arrangements for each Trader Group to be used for trading in IOB Clearing.

Existing London Stock Exchange Member

New London Stock Exchange Member

London Stock Exchange Member Details

Company name (Legal Entity):

Member Firm ID:

Company SWIFT BIC Code:

Head Office Address:

NB – At least one Trader Group ID must be completed

Trader Group ID:

Trader Group ID*:

Trader Group ID*:

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Trader Group ID*:

*** Multiple Trader Group IDs should only be completed where the clearing and settlement arrangements are the same for all listed Trader Group IDs. Individual forms must be completed where this arrangement differs.**

Please tick the appropriate box to indicate your relationship with LCH.Clearnet Ltd (LCH.C):

NCM

GCM

ICM

If applying as an NCM, will IOB settlement services be provided on a Model B basis? Y/N:

If yes, please enter the name of the IOB Model B provider:

Clearing Arrangements

Clearing Member Name:

Please complete your clearing arrangements in the table below:

| LCH.C Clearing Member Mnemonic | Dealing Capacity (P or A) | House or Client Account (H or C) | Default Account (House or Client) |
|--------------------------------|---------------------------|----------------------------------|-----------------------------------|
| <i>Example:</i> AAA | <i>P</i> | <i>H</i> | <i>H</i> |
| | | | |
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Cross Trader Group Netting

Member firms with more than one Trader Group can net their transactions across one Trader Group.

Does the Firm intend to participate in cross Trader Group netting? Y/N:

Only if you are participating in cross Trader Group netting, please enter the firm's Settlement Firm ID in the box below.

| Settlement Firm ID | | | | | | | | | | |
|--------------------|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | | |

Settlement Arrangements

Settlement Provider:

Settlement Provider SWIFT BIC Code:

| Central Securities Depository (CSD) Name | Participant Account Number * | Sub Account | Netting Option (Net or Gross) | Dealing Capacity (P or A) |
|--|------------------------------|-------------|-------------------------------|---------------------------|
| <u>Euroclear Bank</u> | | | | |
| <u>Euroclear Bank</u> | | | | |
| | | | | |
| | | | | |

* A Power of Attorney is required to be signed for each Participant Account Number – see guidance notes for further details.

Contacts

Please provide a settlement contact (this may be a contact at your settlement agent), a compliance contact and a corporate actions contact.

| | Name | Position | Phone Number |
|-------------------|------|----------|--------------|
| Settlement | | | |
| Compliance | | | |
| Corporate Actions | | | |

Further documentation required to be submitted to the Exchange

- All participants must ensure that an up to date list of authorised personnel is registered with the Exchange for the deletion of orders at Member ID level. This is available from <http://www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/regformsagreements.htm>

DeclarationConfirmation by the Non Clearing Member:

We declare that the information is complete and correct and we undertake to notify either the LCH.C or the Exchange immediately of any changes in the information provided in this form that occur after the date of submission of the form.

FIRMS ARE ADVISED THAT THE ABOVE STATIC DATA IS VALIDATED BY THE EXCHANGE AT THE POINT OF ORDER SUBMISSION. IN THE EVENT THAT YOU SUBMIT AN ORDER AND RECEIVE A MESSAGE ADVISING THAT THE ORDER HAS BEEN REJECTED, DUE TO THE INCORRECT SET UP OF STATIC DATA IN YOUR SYSTEMS, THE EXCHANGE IS UNABLE TO MAKE AN INTRA DAY CHANGE TO RESOLVE THIS ERROR. YOU WILL BE REQUIRED TO RESOLVE THE ISSUES WITH THE STATIC DATA ON YOUR SYSTEMS BEFORE YOU WILL BE ABLE TO TRADE

| | |
|---------------------------------|--|
| For and on behalf (Name of NCM) | |
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| Signature | |
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| | |
|------|--|
| Name | |
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| Position | |
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| Date | |
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Please note that NCM applications must be countersigned by the General Clearing Member (GCM).

Confirmation by the Clearing Member.

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| For and on behalf (Name of GCM/ICM) | |
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| Signature | |
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| Name | |
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| Position | |
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| Date | |
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NOTE TO GENERAL CLEARING MEMBERS

General Clearing Members are required to ensure that all information given by the Non Clearing Member whose business they are clearing are both accurate and a reflection of existing relationships set up at LCH.C.

Appendix A – Firms with more than six Trader Groups

Please complete additional Trader Groups below:

| | | | | | | | | | | |
|-------------------|--|--|--|--|--|--|--|--|--|--|
| Trader Group ID*: | | | | | | | | | | |
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