
Service Announcement

17 June 2013



Annual Certification

The purpose of this announcement is to inform customers that London Stock Exchange Group will be introducing a new Annual Certification Test programme designed to ensure customers have performed at least two certification tests per year per venue for all in-housed developed software applications.

Following announcements at the Technical User Groups in Milan and London and the end of the consultation process for necessary amendments to Borsa Italiana Market Rules, this new programme is being introduced starting from 1st July 2013. The programme is in effect for all London Stock Exchange Group Equity and Derivative Market (London Stock Exchange, Borsa Italiana, Turquoise).

1.0 Certification Policy

Full details of the certification policy can be found in the updated test guides for the relevant venues. These are detailed in the documentation that can be found at the bottom of this announcement.

Any certification tests that are completed as part of the upgrade to the new version of the SOLA Platform (SOLA 5) and the new release of the Millennium Exchange will be considered valid for the Annual Certification Test Programme.

2.0 Certification Passport

Customers that have successfully completed the full certification process on an eligible venue are allowed to connect their software to any other eligible London Stock Exchange Group venue under certain conditions and when the functionality used is the same across venues, as detailed in the updated policy.

3.0 Recertification Policy

Customers are reminded that they are required to recertify their application under the following conditions:

- The customer modifies the software in any way that directly impacts a London Stock Exchange Group interface. This includes but is not limited to updates to Gateways, Order Management, Execution Management and Quote Management Software.
- London Stock Exchange Group upgrades its Production environment to a later version of software where there is client facing functionality.
- The software certification period has expired.
- The customer is required to recertify their application be the relevant venue.

4.0 Updated Documentation

Testing Guides for the relevant London Stock Exchange Group markets have been updated to reflect the changes outlined in this service announcement. Updated documentation can be found at the below links for the relevant venues.

- [London Stock Exchange](#)
- [Borsa Italiana Equities Market](#)
- [Borsa Italiana Derivatives Market](#)
- [Turquoise Equities](#)
- [Turquoise Derivatives](#)



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