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# Turquoise Notice

26 June 2019



## Removal of Swiss shares for trading with effect from 1 July 2019

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Under the terms of the Ordinance issued by Switzerland's State Secretariat for International Finance (SIF) on 30 November 2018<sup>1</sup>, from 1 January 2019 trading venues with a registered office outside of Switzerland have been required to obtain prior recognition from the Swiss Financial Market Supervisory Authority (FINMA) where:

- (a) equity securities of companies with a registered office in Switzerland are traded at such trading venues or if such trading venues facilitate the trading of such equity securities; and
- (b) the equity securities pursuant to (a) above are listed on a stock exchange in Switzerland or are traded on a trading venue in Switzerland.

Trading venues are not required to obtain recognition for Swiss equity securities that were admitted to trading or that were listed prior to 30 November 2018, subject to certain conditions including that the security was admitted with the consent of the issuer.

In the event that the EU equivalence decision for Swiss trading venues is not extended beyond its current expiration date of 30 June 2019, it is likely the Swiss authorities will remove the recognition that allows EU trading venues to offer trading in certain Swiss equity securities, the scope of which is set out above. On that basis, we are preparing to take the following measures to ensure compliance with the Ordinance.

With effect from the start of business on Monday 1 July, we will remove from trading in 225 equity securities (SHRS) issued by Swiss companies. These are all identified with the ISIN prefix "CH" denoting a Swiss registered or domiciled issuer. Please find attached the list of affected instruments.

Best Regards,  
Turquoise

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<sup>1</sup> [https://www.efd.admin.ch/efd/en/home/dokumentation/nsb-news\\_list.msg-id-73212.html#downloads](https://www.efd.admin.ch/efd/en/home/dokumentation/nsb-news_list.msg-id-73212.html#downloads)



**London**  
Stock Exchange Group

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## Relates to

### Environment(s)

- Production

### Type

- Regulation

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## Additional Information

### Contact Details

Sales Team

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An archive of previously issued Turquoise Notices is available in the Turquoise library Internet site

<https://www.lseg.com/markets-products-and-services/our-markets/turquoise/information-centre/turquoise-notices>

**Customers are reminded that Turquoise may broadcast test data from its Production environment at the weekends which should be discarded and not processed.**