

MiFID II Order Record Keeping Reminder

Further to our communication issued on 28 February 2018, this announcement is a reminder that member firms are mandated to register all short code mappings in member portal that have been used on order submissions to fulfil their obligations under Turquoise Rule 3.1.8.

Customers using the bulk upload facility, via the Web Portal or SFTP, should ensure that they process the acknowledgement files to determine whether the data provided during the bulk upload process has been:

- fully successfully processed;
- partially processed with errors; or
- fully rejected with errors.

As described in the technical specification, section 2.2 bulk upload via web portal and section 2.3 bulk upload via SFTP detail how the acknowledgment files can be retrieved. Documentation on managing short – long code mappings can be found in the “Member Portal User Manual – Short Code / Long Code Mapping” document [here](#).

Where the bulk upload process has not been processed successfully in full, member firms must rectify any errors immediately.

Relates to

Environment(s)

— Production

Type

— RTS24 Reminder

Product(s)

— Member Portal

Additional Information

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Customers are reminded that Turquoise may broadcast test data from its Production environment at the weekends which should be discarded and not processed.