

## MiFID II Order Record Keeping Requirements

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The purpose of this notice is to remind customers that, in accordance with the Turquoise Rule Book, Trading Members are required to provide the association between the short code used on orders submitted to the trading platform and the related long code, using the mapping table in the Member Portal, by 18:00 UK time on the day of the trade.

Under RTS 24 of MiFID II, Turquoise is obliged to record and provide specific order information to the appropriate regulatory authority; therefore all orders received must contain the relevant information. For further information, please refer to the section titled **Member responsibilities** of the [Turquoise Rule Book](#) specifically the guidance in section 3.1.7 – 3.1.9. Failure to adhere to the Rules will be escalated.

Starting from 18:00 UK time, a reconciliation process is run. Any short codes that are not in the Member Portal but have been submitted on an order for that day will show as an exception against that Trading Member. Trading Members will receive an email alert and will be required to submit any missing short codes, mapped to the related long code, no later than 18:00 UK time the following trading day.

Documentation on managing short – long code mappings can be found in the “Member Portal User Manual – Short Code / Long Code Mapping” document at the link below:

<https://www.lseg.com/markets-products-and-services/our-markets/turquoise/information-centre/document-library>

If you have any questions about this announcement please contact your Technical Account Manager or the Technical Account Management team on +44 (0)20 7797 3939 or [londontam@londonstockexchange.com](mailto:londontam@londonstockexchange.com)