

London Stock Exchange Derivatives

The purpose of this announcement is to remind customers that they are required to perform at least two certification tests per year, per venue for all proprietary software applications as part of the Annual Certification Test programme.

The Annual Certification Test programme was introduced on 1 July 2013 following announcements at the Technical User Groups in London and Milan and the consultation process for necessary amendments to Borsa Italiana Market Rules. The programme is in effect for all London Stock Exchange Group Equity and Derivative Markets (London Stock Exchange, Borsa Italiana and Turquoise).

Certification Policy

Full details of the certification policy can be found in the updated test guides for the relevant venues. Links to the appropriate documents can be found at the bottom of this announcement.

Any certification tests that were completed as part of the upgrade to the new version of the SOLA Platform (SOLA 5 – 4 March 2013 and SOLA 6 – 30 September 2013) and the new release of the Millennium Exchange (Release 8.0 – 18 November 2013) will be considered valid for the Annual Certification Test Programme.

Planned Releases

All software certified as part of a planned LSEG release will count towards the total number of certification tests customers are required to perform.

Recertification Policy

Customers are reminded that they are required to recertify their application under the following conditions:

- The customer modifies the software in any way that directly impacts a London Stock Exchange Group interface. This includes, but is not limited to, updates to Gateways, Order Management, Execution Management and Quote Management Software.
- London Stock Exchange Group upgrades its production environment to a later version of software where there is client facing functionality.
- The software certification period has expired.
- The customer is required to re-certify their application by the relevant venue.

Updated Documentation

Testing Guides for the relevant London Stock Exchange Group markets have been updated to reflect the changes outlined in this service announcement. Updated documentation can be found at the below links for the relevant venues.

- [London Stock Exchange](#)
- [Borsa Italiana Equities Market](#)
- [Borsa Italiana Derivatives Market](#)
- [Turquoise Equities](#)
- [London Stock Exchange Derivatives](#)