

Real Time Market Data

Schedule D: Direct Reporting

Version 3.3

01 January 2021

SCHEDULE D: DIRECT REPORTING

**ANNEX TO THE GENERAL TERMS
AND CONDITIONS OF THE
LONDON STOCK EXCHANGE AND
BORSA ITALIANA REAL TIME
MARKET DATA AGREEMENT**

Version 3.3
01/01/2021



London
Stock Exchange



Borsa Italiana

4. Chargeable device for direct reporting

4.1 Unit of count

- 4.1.1 The unit of count to measure the internal display and reporting of Data, when reporting directly to the Exchanges, should be the User.
- 4.1.2 Direct Reporting Customers must have in place technical or procedural controls to ensure that Unique User Id's are not shared amongst employees.
- 4.1.3 This unit of count supersedes that set out in section 3.1 of Schedule A in the event that a Customer receives authorisation to declare all internal Data use directly to the Exchanges.

5. Direct reporting requirements

c. Direct Reporting Customer reporting requirements

- 5.1.1 Monthly declarations of all London Stock Exchange and Borsa Italiana Data use, including Data sourced from Redistributors and that received directly from the Exchanges, are required from all Direct Reporting Customers.
- 5.1.2 Monthly declarations must be made within 14 days of the end of each calendar month.
- 5.1.3 Declarations must be submitted online at the Exchanges websites.
- 5.1.4 In order to satisfactorily complete the online declaration Direct Reporting Customers must include the following information:

Product:	the level of data to be reported (UK lvl 1, UK lvl 2, Int lvl 1, Int lvl 2)
Subscriber number:	this is the current subscriber number reported by the vendor (i.e. Reuters UK12345)
Subscriber name:	this is the current subscriber name reported by the vendor to the Exchange for the customer entity
User Id:	this is the unique identification code used to identify the user
Branch office:	the entity the data is being reported on behalf of (i.e. XYZ Asset Management, XYZ Equity Ltd etc)
Device Id:	device Id from vendor permission (i.e. DACS id)
Vendor:	the data source (needs to be standardised i.e. Reuters, Bloomberg, Interactive Data Managed Solutions)
Standalone flag:	this is the flag that identifies a data source as a feed of data (end user permission controlled by the customer) or stand alone (end user permission controlled by the vendor)
Department:	the location of the user within customer (department the user works in)
User type:	is the user considered billable or non billable (i.e. support, development, training etc)
Address:	the full address where the device is located
Country:	the country the device is located
Customer type:	LSE: Is the user entitled to member firm rates (based on address details). BIT: the method of how the data is received (Push, Pull)
Quantity:	number of devices attributed to user id

Please see the Terminal Returns Quick Start Guide for further guidance on the reporting formats.

d. Redistributor reporting requirements

- 5.2.1 Redistributors are not required to report Device or User numbers in respect of Data supplied to Direct Reporting Customers via a datafeed.
- 5.2.2 Redistributors are required to report Device numbers in respect of display Devices for which they directly control and permission access to London Stock Exchange or Borsa Italiana Data (stand alone Devices).

6.Liabilities

e. Direct Reporting Customer Liabilities

- 6.1.1 Any Customer who is authorised to report Data usage directly to the Exchanges is liable for all Users capable of viewing Data, including Data supplied by Redistributors.
- 6.1.2 Direct Reporting Customers are obliged to remit Data Charges in respect of these Users.

f. Redistributor liabilities

- 6.2.1 Redistributors are not liable in respect of Data Charges, for Data use by any User at a Direct Reporting Customer.
- 6.2.2 Devices declared in respect of 5.2.2 above should be identified within monthly reports as relating to a Direct Reporting Customer and Data Charges should not be remitted by the Redistributor in respect of these Devices



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