
Factsheet

Non-UCITS ETFs

Historically only UCITS compliant ETFs had listed on London Stock Exchange. London Stock Exchange has now created dedicated segments and sectors to allow non-UCITS ETFs to list.

How to list a non-UCITS ETF?

Submit an application for FCA authorisation/recognition of a collective investment scheme.

There are two routes to market:

- Apply to the UK Listing Authority (UKLA) for an official London listing and to London Stock Exchange for admission to trading on the Main Market.
- **FCA Authorised funds** – e.g. Non UCITS Retail Schemes (NURS), Qualified Investor Schemes (QIS) and Alternative Investment Funds (AIF).
- Apply to London Stock Exchange for admission to trading on the Main Market based on an existing listing.
- **FCA Recognised funds** (recognised by the FCA in accordance with section.272 FSMA) – e.g. Specialised Investor Fund (SIF), Qualified Investor Fund (QIF)/Qualified Investor Alternative Investment Fund (QIAIF) and AIF.

Dedicated segments & sectors

<http://www.lseg.com/sites/default/files/content/documents/Segments%20and%20Sectors%202016.pdf>

Documents needed for listing/ Admission timeline

Once FCA recognition status has been granted, please provide the following documents 5 business days* in advance of listing date to admissions@lseg.com

- Form 1
- Trading form (static data form)
- Evidence of FCA recognition status
- Prospectus/pricing supplement
- Listing particulars

** Sub-funds only. Parent funds still require the full 11 business days.*

Fees

Same as UCITS ETF listings. See [price list](#).

Contact

For any admission or trading related queries please contact etfs@lseg.com or phone +44 (0)20 7797 3921
Please email authorisation/recognition queries to: recognisedcis@fca.org.uk



London
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