

Turquoise Equities

Turquoise Rules updates

This Notice informs Members of the following updates to the Turquoise Rule Book:

- A revised version of the Rule Book with accompanying Product Annex will take effect 1 December 2017 to reflect the **Turquoise SwapMatch™** service being operated as part of the Turquoise MTF from that date, as well as introducing the definition of a 'Trading Member' for those firms that have direct trading access to Turquoise; and
- A revised version of the Rule Book will take effect from 3 January 2018 to take account of MiFIR/MiFID II requirements.

Both versions of the new Rule Book are attached to this Notice in marked up form, and clean versions can be found in the Turquoise Document Library at: <http://www.lseg.com/markets-products-and-services/our-markets/turquoise/information-centre/document-library>.

Any questions or comments should be addressed to Rules and Compliance, telephone +44 (0) 20 7797 2190 or email: RulesAndComplianceTeam@londonstockexchange.com.

Additionally, Members are reminded that Turquoise has launched a Member Portal to provide a secure online communication tool for Members to manage their membership profiles and notifications required under the Turquoise Rules. As part of the registration process, Members will be required to provide their Legal Entity Identifier (LEI) codes.

The Turquoise Member Portal Order Form and Terms & Conditions are available to download from <http://www.lseg.com/markets-products-and-services/our-markets/markets-membership/member-portal>.

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