

London Stock Exchange Derivatives

MARKET NOTICE 2016/077

Registered Persons changes

This Notice informs Member Firms that London Stock Exchange Derivatives Market (“LSEDM”) will now permit in certain limited cases an individual at an eligible non-Member Firm to be a Registered Person. This arrangement is limited to cases where a Member Firm has delegated its Designated Market Maker (DMM) Obligations to a non-Member Firm in CurveGlobal products.

Member Firms that would like to make use of this arrangement must complete the relevant Derivatives Registered Persons Form (the “Form”), a copy of which is attached to this Notice. Subject to the Form being accepted by LSEDM, an eligible non-Member Firm would be able to request Order and Quote deletions and inquire about the status of Orders, Quotes and Trades directly with the Exchange.

In order to permit this arrangement, certain minor amendments have been made to the Rules of the London Stock Exchange Derivatives Market (“the Rules”). A marked up version of the Rules is attached to this Notice.

Any questions related to this Notice should be directed to Derivatives Operations on +44 (0)20 7797 3617.

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