
Membership

Declaration by member firms located in the European Economic Area (excluding the United Kingdom)



London
Stock Exchange

Member Firm Name:

Legal Entity Identifier

As a member firm of the London Stock Exchange (“the Exchange”), we confirm the following:

- We will not provide trading access to the London Stock Exchange to non-professional clients located in the Republic of France.
- We confirm that we fully understand our obligations under the Rules of the London Stock Exchange.

In addition, we declare the following [*tick the relevant box that applies*]:

We conduct all London Stock Exchange business from a registered Branch Office in the United Kingdom (UK). Accordingly, we will submit transaction reports directly to the UK Financial Conduct Authority for all London Stock Exchange trades.

We conduct all London Stock Exchange business from outside the UK and have notified the appropriate UK competent authority that we will use the temporary permissions regime (TPR) when this is effective following the departure of the UK from the European Union. Until such time as we are fully authorised under Part 4A of The Financial Services and Markets Act and have left the TPR, we will provide the Exchange with the information required to complete transaction reports for all London Stock Exchange business in the format and within the timescale prescribed by the Exchange in the *LSEG Brexit Third Country Member Transaction Reporting Guide*. We confirm we will notify the Exchange at the point Part 4A authorisation is granted and we have left the TPR.

We do not require authorisation from the appropriate UK competent authority because our business activities fall within the scope of the overseas persons’ exclusions set out in Article 72 of The Financial Services and Markets Act. We will provide the Exchange with the information required to complete transaction reports for all London Stock Exchange business in the format and within the timescale prescribed by the Exchange in the *LSEG Brexit Third Country Member Transaction Reporting Guide*.

For and behalf of:

Name:

Position:

Date:

Signature:



London
Stock Exchange Group