

Inside the Markets

14 July 2015, London

Knowledge and skills for growth



London
Stock Exchange Group



Academy

Who we are

The educational model of the Academy is that of a "Corporate University" where the traditional classroom is replaced by an interactive approach to all open enrolment programmes so as to:

- Facilitate the exchange of experiences and expertise among the professionals attending.
- Actively encourage the transfer of knowledge and skills gained back into the workplace.

All our open enrolment courses are designed to analyse and explore developments in the international financial markets in order to improve delegates' technical and operational skills.

Our Strengths

Academy clients are able to benefit from two particular advantages when working with the Academy:

- Our faculty, encompassing experts from across London Stock Exchange Group and experienced UK, Italian and international financial market practitioners. On occasion, where appropriate we are also able to draw on the insights of leading academics from the most respected universities.
- Our innovative methodology, centred on the value of group learning, a collaborative approach and creating an active learning environment.

The Course

Overview

This three hour seminar is delivered by experts from within London Stock Exchange to provide an overview of how mature equity markets function, from companies raising capital to how shares are traded, as well as explaining some of the City jargon and seeing live market screens.

Why attend?

The course aims to build a framework for understanding:

- The role of London Stock Exchange in the context of the UK financial services industry
- How, where and why UK and international companies are listed and admitted to trading

Inside the Markets seeks to bring the financial markets to life and breaks down some of the myths for those who may not have been exposed to the sector previously. The seminar will include the use of live market data and interactive discussions on topics such as:

- Why companies float on a Stock Exchange
- How shares are traded
- How the finance markets work, and what sort of organisations are involved
- What is insider trading and how is it dealt with?

Review and analysis of recent cases

Location

The course will be held at London Stock Exchange's headquarters located next to St. Paul's Cathedral at 10 Paternoster Square, London EC4M 7LS.

Who should attend

This is an ideal course for private investors, students in Finance or anybody new to the Financial Services

industry seeking an introduction to today's Capital Markets.

Trainer: Tracey Paul-Clark

Tracey joined the London Stock Exchange at the Leeds Regional Office in 1994 to manage relationships with key local constituents including stockbrokers, listed companies and professional advisers. The design and launch of the AIM Market at this time called for heavy involvement of regional staff. She moved to the London office at the end of 1995 to take up a UK marketing manager role.

In 1998 Tracey left the Exchange to set up and manage her own business venture. She returned in 2001 to become Primary Account Manager for a portfolio of member firms based around the UK, and joined the Training & Consultancy team in early 2004. Tracey has since developed and delivered training programmes around the financial markets including the 'Trading on the London Stock Exchange' programme.

Tracey holds an MBA in Business Administration from Bradford University Management Centre and the Chartered Institute of Marketing Postgraduate Diploma.

The Course

13:45 - 14:00 - Registration

Registration

Welcome and introduction to course objectives

- Overview and introduction to course objectives

Background to London Stock Exchange

- Brief overview of how London Stock Exchange has developed
- The role of a stock exchange
- FTSE 100 competition

Primary Markets

- How and why companies issue equity
- Choice of London Stock Exchange markets for capital raising

Secondary Markets – Trading Markets

- Evolution from physical market floor to electronic markets
- Role of key market participants
- Understanding the trading screens

Regulation & Financial Crime

- Types of market abuse
- Market monitoring, detection & investigation

Competition Results

- Competition to predict the value of FTSE100 at a pre defined time

Final questions and answers

17:00 – Close

Terms & Conditions

Date of course

14 July 2015

Registration fee: £ 100 + VAT

Joining instructions

Joining instructions will be confirmed by email 7 days prior to the course date. Please contact us if you do not receive any written registration confirmation. This registration form must be received by London Stock Exchange no later than seven days in advance of the course start date and submitted to Academy UK mail to academy_uk@lseg.com. All registrations are subject to the data protection policy and terms and conditions listed below.

Payment

The course fee includes all supporting documentation supplied by London Stock Exchange to the delegates in relation to the course. Delegates are responsible for their own travel and accommodation arrangement. Once your registration has been confirmed, London Stock Exchange shall issue you with an invoice. Full payment of the fees must be received by London Stock Exchange 28 days after receipt of such invoice.

Cancellation

Substitutions may be made, however you must notify London Stock Exchange of such changes at least 48 hours in advance of the course start date. If you are an organisation, you must provide London Stock Exchange with details of all delegates at least 48 hours in advance of the course start date. A full refund will be given for cancellation requests received at least 15 working days before the course. Cancellations must be made in writing (post, email or fax) and must be received by London Stock Exchange before the 15 working day deadline. Delegates who cancel 10-14 working days prior to the course will receive a refund equal to 50% of the fee. No refund will be given for cancellations received less than

10 working days before the course. London Stock Exchange reserves the right to change or cancel the course or any part of its published programme due to unforeseen circumstances; in such circumstances London Stock Exchange will use reasonable endeavours to contact the delegate or organisation.

Liability

Whilst every effort is made by London Stock Exchange to ensure that the contents of the course are accurate and up to date, London Stock Exchange shall not be liable whatsoever to the delegate or the organisation participating in the course for any inaccuracy or misleading information, nor for any consequential damage or expense or any loss of profit or any liability to third parties incurred as a result of reliance on such information which is provided for educational purpose only. London Stock Exchange's total liability under this agreement shall be limited to the total fees payable under this Agreement. Nothing in this Agreement shall limit the liability for death, fraud or personal injury caused by its negligence.

Intellectual property rights

The delegate agrees that all intellectual property rights held in all documentation supplied by London Stock Exchange to the delegate in relation to the course are and shall remain property of London Stock Exchange and therefore any exploitation, copying or distribution in any form of the same is strictly prohibited. The delegate or organisation (whichever is applicable) shall not at any time use the name, logo, or trademark of London Stock Exchange without the written consent of London Stock Exchange.

Organisations

This section shall only be applicable to organisations who have booked their delegates on the course detailed below. You shall at no time publicly refer to the training which London Stock Exchange shall provide to your delegates, without the written consent of London Stock Exchange. Our training is not and will not be an endorsement of your organisation and the services you

Terms & Conditions

offer. London Stock Exchange does not endorse any training provided by Academy, London Stock Exchange Group.

Data Protection

London Stock Exchange takes your privacy seriously. We collect and use personal information to provide services, conduct market research and inform you about relevant products and services.

Please confirm your preference below:

- I do NOT wish to receive information about products or services from the Academy of London Stock Exchange
- I do NOT wish to receive information about products and services from carefully selected third parties
- YES, I would like to receive information via electronic communication about future programmes from the Academy of London Stock Exchange

We will not disclose your data outside London Stock Exchange unless we have your permission except to business partners and to third party suppliers for processing purposes under normal business practice.

I have read and understand the booking terms and conditions.

SIGNATURE:

NAME:

DATE:

Terms & Conditions

Please complete the details below and sign to confirm acceptance of the terms and conditions. Completed forms should be returned to Academy, London Stock Exchange Group by email to: academy_uk@lseg.com. For any further information please contact the Academy Customer Care at: +44 (0) 207 797 1821.

Date of course: 14 July 2015 Registration fee: £100+VAT Total to be invoiced £_____ (Incl VAT)

Participant Information			
Title	Name	Surname	
Job Title		Company name	
Address Line 1		Address Line 2	
City	Post/Zip code	Country	
Email		Tel:	Fax

Private investor	<input type="checkbox"/>		
Student	<input type="checkbox"/>		
		Listed/private Company <i>(please delete as appropriate)</i>	
Position within company: <i>(please tick)</i>		Nature of business <i>(please tick)</i>	
General Management	<input type="checkbox"/>	Law	<input type="checkbox"/>
HR & Training	<input type="checkbox"/>	Accountancy	<input type="checkbox"/>
Planning & Control	<input type="checkbox"/>	Banking	<input type="checkbox"/>
IT	<input type="checkbox"/>	Consultancy	<input type="checkbox"/>
Legal	<input type="checkbox"/>	Funds	<input type="checkbox"/>
Finance	<input type="checkbox"/>	Investment Bank	<input type="checkbox"/>
Other	<input type="checkbox"/>	Other	<input type="checkbox"/>

*If you are registering a **multiple places**, please, complete the following:*

Company name			
Address Line 1		Address Line 2	
City	Post/Zip code	Country	
Contact email		Contact telephone number	
Delegate 2: Name and email			
Delegate 3: Name and email			

Signature:

Name:

Date:

Academy

Comprehensive, quality education is fundamental in the development and growth of the economic financial system. Established in 2000, Academy, the educational centre of the London Stock Exchange Group, delivers on this aim by developing and offering a variety of seminars and training courses relevant to the financial, legal and corporate management community.

www.lseg.com/academy

Contact Details

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