
Factsheet

ETF & ETP Admission Timetable

The following outlines the documentation required to admit ETFs and ETPs to trading on the London Stock Exchange.

(Prior to any potential listing please liaise with the FCA (020 7066 1000) in order to have the products' prospectus approved and stamped. Please note that without this, no instrument can be admitted to trading.)

All ETFs and other ETPs must be either be FCA authorised (UKLA listed) or FCA recognised (listed with another EEA competent authority).

ETFs and other ETPs can be admitted to trading on the London Stock Exchange via 2 different routes:

- They can be UKLA listed and therefore UKLA will be the listing authority
- They can be listed with another EEA Competent Authority

For ETFs and other ETPs admitting on the basis of a UKLA listing, the below documents must be submitted to the Admissions team 5 business days (by 12.00pm) before the target admission date:

- Form 1
- Trading form
- Prospectus/pricing supplement
- For ETFs - Certificate of UCITS compliance or other FCA recognised non-UCITS scheme
- For other ETPs – Final terms

For ETFs admitting on the basis of an EEA listing, the below documents must be submitted to the Admissions team 5 business days* (by 12.00pm) before the target admission date:

- Form 1
- Trading form
- Evidence of FCA recognition status
- Prospectus/pricing supplement
- Stamped listing particulars from an EEA CA (English version)
- Certificate of UCITS compliance from the home CA or other FCA recognised non-UCITS scheme (English version)

** Sub-funds only. Parent funds still require the full 11 business days.*

NB – For funds with CNY as the underlying fund currency, we require at least 3 weeks notice.

Multi-Currency Lines*

Issuers can admit up to 3 different currency lines for each ETF/ETP. These can be applied for simultaneously with the standard line, or at a later date. If applying for multi-currency lines at a later date, we require the same documentation to the same timetables as above, with the exception of the Prospectus/Pricing Supplements.

Additionally, these lines will require unique TIDMs and London SEDOL codes while the ISIN of the multi-currency line will be identical to that of the standard line. Please forward all documentation to admissions@lse.com



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* To admit CNY trading lines, we will require up to 1 month's notice.

TIDMs

TIDMs are unique 3-4 digit codes requested by issuers for each new ETF/ETP which will be admitted to trading on the London Stock Exchange. These can be reserved via email request to admissions@lseg.com.

When reserving TIDMs please inform us of the Issuer Name and Description of the fund which corresponds to each TIDM. Once reserved, these are held for a period of 6 months.

London Stock Exchange reserves the right to reject or withdraw a TIDM, at its sole discretion, at any time.

SEDOL

SEDOL codes can be reserved via the SEDOL Masterfile team by emailing smfnewissues@lseg.com with the relevant pricing supplement(s). Please allow 48 hours for the SEDOL codes to be assigned.

For any queries relating to SEDOLs please contact SEDOL Masterfile on 09065 543 210.

Market Makers

For each ETF/ETP admitted to trading on the London Stock Exchange, we require at least one registered market maker to provide two-way prices on our order-book from the first day of trading.

Existing market makers wishing to be registered in new ETFs must complete a Registration Information Form (RIF) and email it to rif@lseg.com by no later than 15:30 on the business day before the target effective from date. We strongly suggest that a RIF should be submitted prior to this to allow for any possible corrections which may be required. New market makers should contact the ETF team beforehand to ensure they are set-up to send executable quotes and to have their profile changed if necessary.

If no market makers are registered by 15:30 on the business day before the admission date the security will not be admitted.

Please ensure you speak with the nominated Market Maker to determine the correct EMS value based on the price.

Please contact Market Operations on 020 7797 3666 (option 1) for any further queries relating to market making.

Useful Telephone Numbers

UKLA - 020 7066 8333
Market Operations- Admissions: 020 7797 4310
Market Operations- Market Control - 020 7797 3666 (Option 1)
SEDOL Masterfile: 09065 543 210
ETF Product Management team: 020 7797 3921



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