



London
Stock Exchange Group

Monte Titoli

Financial instruments managed through links

Country: USA

Access through: DTCC

Financial instruments managed through links

Country: USA - DTC

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Monte Titoli, a London Stock Exchange Company, provides a single gateway to a growing number of international securities markets including both ICSDs.

General information

Eligible securities through the link:
ISINs NL0010545661, NL00150001Q9, NL0011585146

Transaction types:
DCP can submit instructions via: SWIFT 15022, SWIFT 20022, X-TRM, RNI
ICP can submit instructions via: SWIFT 15022, SWIFT 20022, X-TRM, RNI

Counterparties must match:
Depository BIC Code: DTCCUS3NSET
MT BIC Code: MOTIITMMXXX
MT Account: 00002008

Settlement is offered for OTC transactions on Free of Payment, **unmatched** basis for all eligible Securities in the link

Additional T2S Settlement features: Not Available

Corporate Actions on Flows : available according to MT operational instructions

Depository information:
Depository Trust and Clearing Corporation
www.dtcc.com

Service restrictions:
Please refer to the matrix of **Restrictions to the Activity of Intermediaries** available [here](#)

Market features

Matching: not required

Matching tolerance:
not applicable to FOP transactions

Recycling period for:
Unmatched transactions & Unsettled transactions : not applicable to dump instructions

Management of corporate actions affecting securities placed in DTC
Available

Market holidays:
Please refer to the DTCC web site:
www.dtcc.com

Disclosure requirements and holding restrictions:
Please refer to the DTCC web site:
www.dtcc.com

Settlement information

Market Standard Cycle

| | |
|----------|-----|
| Equities | T+2 |
|----------|-----|

Instruction deadlines for same-day settlement

| | |
|---------------|-----------------|
| FOP Delivery: | 7.00am – 5.30pm |
|---------------|-----------------|

Instructions received after deadline

Monte Titoli will, on a reasonable effort basis, attempt to process instructions that have been received or validated after the applicable input deadline, as close as possible to the market deadline.

Note: The attempt to process instructions after the applicable input deadline only applies to instructions that have been correctly formatted for STP.

Reporting/confirmations

| | Transaction status | Settlement | Statement of holding/transactions |
|----------------------|--------------------|------------|-----------------------------------|
| SWIFT 20022 from T2S | sese.024 | sese.025 | semt.017 –semt.018 |
| SWIFT 15022 | 548 | 546 | 535-536 |
| X-TRM | G56 | G56 | X |
| RNI | X | 71N | 706 |

Instruction formats

SWIFT ISO 15022 (Complete instruction formats are available on [SWIFT MyStandards](#))

MT542 – Delivery Free of Payment

| | | | |
|------------------------------------|-------|-------|---|
| M | :98A: | :SETT | Settlement date |
| M | :98A: | :TRAD | Trade date |
| M | :22F: | :SETS | Settlement System Indicator (for DTCC:0006) |
| Identification of MT Participant | | | |
| M | :95a: | :DEAG | BIC/ABI/CED Code of the delivering agent |
| O | :97a: | :SAFE | Participant's account in Monte Titoli's books |
| O | :95a: | :SELL | BIC/ABI/CED Code or Name of the underlying seller |
| O | :22F: | :TRCA | Party capacity indicator |
| Identification of the Counterparty | | | |
| M | :95P: | :PSET | Place of settlement (for DTCC: DTCYUS33XXX) |
| M | :95P: | :REAG | BIC Code of the receiving agent |
| M | :97A: | :SAFE | Receiving safekeeping account |
| O | :95a: | :BUYR | BIC Code or Name of the underlying buyer |
| O | :70C: | PACO | Account of Party II Counterparty |



Asset services

ENTITLEMENTS

Equities

| | T | T+1 | T+2 | T+3/n |
|------------|-----------------------|---------|-------------|----------|
| CSD | Last 'CUM' trade date | Ex date | Record date | Pay date |
| MT | Last 'CUM' trade date | Ex date | Record date | Pay date |

The sequence of the relevant dates applicable to specific corporate events may differ from the above general rule. Monte Titoli will report the relevant applicable dates through a Service Notice or equivalent message on a case by case basis.

TAX SERVICES

| | Relief at source | Rate Adjustment |
|-----------------|------------------|-----------------|
| Equities | Available | Available |

INCOME PROCESSING

| | |
|-------------------------------|---|
| Payments in Euro | Done on participant's account in T2 or T2S |
| Payments in currencies | Payment done on intermediary account at CCB |
| Payment deadlines | 3:30 PM for EUR 4:00 PM for other currencies |

CORPORATE ACTIONS REPORTING

| | Advice | Notification/Confirmation |
|-------------|---------------|---------------------------|
| SWIFT 15022 | 564, 568 | 566 |
| RNI | 721, 722, 097 | 7B2, 097 |

Corporate Actions instruction deadlines are communicated in the advice messages. Instructions received after the deadline are processed on a best effort basis. Restrictions on CA instructions may apply. The restriction will be communicated in the advice messages as received by the Issuer CSD/Custodian.

ENTITLEMENT COMPENSATION

OTC trades = equities only

SWIFT 20022 instruction formats

Complete instruction formats are available on [SWIFT MyStandards](#).

| Identification of MT Participant | | |
|------------------------------------|--|--|
| M | Settlement System | SettlementSystemMethod <SttlmSysMtd> (for DTCC:0006) |
| M | MT Participant | Delivering/Receiving Party1 <Pty1> |
| O | Account of MT Participant | Safekeeping Account <SfkgAcct> |
| O | Client of MT Participant (Beneficiary) | Delivering/Receiving Party2 <Pty2> |
| Identification of the Counterparty | | |
| M | Depository | Place of settlement (for DTCC: DTCYUS33XXX) |
| M | Settlement Agent | Delivering/Receiving Party1 <Pty1> |
| M | Account of S.A. | Delivering/Receiving Party1 Safekeeping Account <SfkgAcct> |
| O | Client of Settlement Agent | Delivering/Receiving Party2 <Pty2> |
| O | Account of Client of S.A. | Delivering/Receiving Party2 Contact Details <PtyCtctDtls> |

RNI and X-TRM instruction formats

| M | G02 | Trade type abbreviation | CTC |
|------------------------------------|-------------------|--------------------------|--|
| M | G07 | Sign | A = deliver |
| M | GC0 | Settlement system | 06 |
| M | GF2 | Market Identifier | FME |
| M | G13 | Trade date | YYYYMMDD |
| M | GA1 | Settlement date | YYYYMMDD |
| Identification of MT Participant | | | |
| M | GC2 | MT Participant | BIC Code of MT Participant |
| O | GD2 | MT Participant Account | Account of S.A. in MT |
| O | GT6 | Client of MT Participant | BIC code of the underlying client of MT Participant |
| Identification of the Counterparty | | | |
| M | G23 | Depository | CSD Code (for DTC: DTCY) |
| M | GC3 | Settlement Agent | BIC Code of Party I Counterparty |
| M | G33 | Account of S.A. | Account of the Party I Counterparty |
| O | GTL OR: GTN | Client of S.A. | BIC Code of Party II Counterparty OR: Description of Party II Counterparty |
| O | GTP | Account of client | Account of the Party II Counterparty |



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