

Turquoise Equities

Turquoise Rules updates

This Notice informs Members of the following updates to the Turquoise Rule Book:

- Amendments to Rule 4.4.1 to make clear that Members may choose to be bound by the terms of the Market Making Agreement by opting into a market making scheme; and
- Amendments to Rule 3.1.21 to clarify that Members shall, in addition to the Turquoise Rules, be bound by and observe rules and procedures set out in any supplementary documentation, the provisions of any Market Notice and any requirement, decision or direction of Turquoise. This amendment is to give effect to the order-to-trade (OTR) regime required by MiFID II and to align the Turquoise Rule Book with other LSEG Rule Books.

A marked-up version of the Rule Book with these amendments is attached to this Notice, and a clean version can be found in the Turquoise Document Library at: <http://www.lseg.com/markets-products-and-services/our-markets/turquoise/information-centre/document-library>.

The revised version of the Rule book will take effect from 3 January 2018.

Any questions or comments should be addressed to Rules and Compliance, telephone +44 (0) 20 7797 2190 or email: RulesAndComplianceTeam@londonstockexchange.com.

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