Real Time Market Data

Order Form

Version 4.0

01 February 2021

London Stock Exchange

ORDER FORM

ANNEX TO THE GENERAL TERMS AND CONDITIONS OF THE LONDON STOCK EXCHANGE REAL TIME MARKET DATA AGREEMENT

Version 4.0
01/02/2021

London
Stock Exchange

Real Time Market Data Agreement

Version 4.0 (01/02/2021)

1.0 Data Protection

The personal data provided in this order form will be used by the London Stock Exchange plc ("**LSE**") and/or any of the London Stock Exchange group of companies (together with LSE, the "**Group**") for the purposes of providing the products, services and data that you have ordered and enabling the Group to perform its business activities in accordance with LSE's Privacy Policy, available on its website. You agree and confirm that you have procured for an appropriate notice to be made available to the relevant data subjects and have obtained any necessary consents or authorisations required to permit Group to use such personal data for such purposes.

By ticking the box(es) below, you also agree to the personal data provided being used:

☐ by LSE to send messages about similar LSE products and services;

and

☐ by the Group to send messages about Group products and services,

and confirm that you have obtained all necessary consents from the relevant data subjects to permit Group to carry out the marketing activity set out in this paragraph.

2.0 Customer Details

Company Name:	
Street Address:	Town:
Telephone:	
County:	Post Code:
Country:	

2.1 Invoicing Details

Contact Name:	Position:
Telephone:	Email:
Street Address:	Town:
County:	Post Code:
Country:	VAT Number:

2.2 Order Details

Technical Contact:	Position:
Telephone:	
Email:	
Technical Contact:	Position:
Telephone:	
Email:	

3.0 Subsidiaries

Please provide details of any subsidiaries (as defined in Section 1159 of the Companies Act 2006) to which Data will be provided below (please include company name, address and percentage stake held):

4.0 Data Supply

If you wish to receive data directly from the Group, please ensure you complete Appendix A of this order form.

If you do not receive data directly from the Group please enter the name of your data supplier(s) below:

Name of supplier(s):

5.0 Non-Display Usage

Where appropriate, **Customers** are required to classify use of data in non-display '*trading based activities'.*

Examples of '*trading based activities*' include: semi-automated or automated order/quote generation; order pegging including reverse pegging, mid bid / offer pegging, limit order pegging; price referencing for trading purposes (excluding in the operation of an ATP); smart order routing to facilitate trading; arbitrage; order management; execution management; semi-automated or automated pre-trade risk assessments or verification processes; electronic order flow and liquidity management system; market making; 'black box' trading; program trading; algorithmic trading[1]; operating multilateral trading facilities/dark pools, systematic internalisers.

All **Customers** are required to complete, as appropriate, the type and level of LSE real time data used for non-display purposes:

Principal

- Customers whose internal Non-Display Usage is for the purpose of (or includes) *trading based activities* as 'principal'.

Client Facilitation

- Customers whose internal Non-Display Usage is for the purpose of (or includes) *trading based activities* to facilitate customer business.

Trading Platforms

- Customers whose Non-Display Usage is for the purpose of (or includes) the operation of trading platforms including but not restricted to systematic internalisers/multilateral trading facilities.

1 PoV algorithmic trading, VWAP/MVWAP/TWAP strategies, target close strategies, monitoring trading strategies, direct market access (DMA trading), implementation shortfall trading, basket trading.

		Principal	Client Facilitation	Trading Platforms
UK market Data	Level 1	☐	☐	☐
	Level 2	☐	☐	☐
International market Data	Level 1	☐	☐	☐
	Level 2	☐	☐	☐
ETF / ETP	Level 1	☐	☐	☐
	Level 2	☐	☐	☐
AIM	Level 1	☐	☐	☐
	Level 2	☐	☐	☐
TRADEcho	Level 1	☐	☐	☐
CurveGlobal Interest Rate Derivatives	Level 2	☐	☐	☐
Order Book for Retails Bonds (ORB)	Level 2	☐	☐	☐

Please tick the following box if you are a Member of London Stock Exchange: ☐

Please tick the following box if you are a new Customer of a Non-Display Usage Licence above, as you may be eligible for an initial 50% discount of the applicable Charges for the first 12 months from the Effective Date of the Order subject to the terms of the Agreement: ☐

See Schedule B for the detailed pricing policy for this type of usage.

Please provide details of the Non-Display usage application in the space below:

6.0 Other Applications Business Activities

Other Applications usage only applies to non-trading based customer activities.

Please complete, as appropriate, the type and level of LSE real time data used within applications for the purposes of one or more of the non-trading based activities including, but not limited to, risk management, quantitative analysis, fund administration, portfolio management.

	Other Application Usage			
	1-3 Applications	**4-6 Applications**	**7-10 Applications**	**Unlimited Applications**
LSE UK market Data Level 2	☐	☐	☐	☐
LSE UK market Data Level 1	☐	☐	☐	☐
LSE International market Data Level 2	☐	☐	☐	☐
LSE International market Data Level 1	☐	☐	☐	☐
ETF / ETP Level 2	☐	☐	☐	☐
ETF / ETP Level 1	☐	☐	☐	☐
AIM Level 2	☐	☐	☐	☐
AIM Level 1	☐	☐	☐	☐
TRADEcho	☐	☐	☐	☐

Where you have not used the “Level 2” data in the maximum number of Applications, you may use the same type of “Level 1” data in the remaining number of Applications permitted for that type of “Level 2” data.

For example: if you use “LSE UK Level 2” data in two Applications and “LSE UK Level 1” data in one Application, then the appropriate banding to tick is “1-3 Applications” for “LSE UK Level 2”.

Please provide details of any other usage in the space below:

See Schedule B for the detailed pricing policy for this type of usage.

7.0 Direct Reporting

Please only complete this section if you have been authorised by LSE to directly report data usage

Before ticking the box below, please ensure you have discussed direct reporting with your Group contact.

Please tick the following box if you wish to enter into a direct reporting relationship with LSE and you have been authorised accordingly by LSE: ☐.

Upon successful completion of this market data agreement, you will be liable under the attached terms and conditions as a Direct Reporting Customer.

8.0 Redistribution Licences

Please only complete this section if you intend to redistribute Group data to third-party individuals or organisations.

Before completing this section, please refer to Schedule B for the detailed pricing policy and descriptions of redistribution licences.

If you are not receiving data direct from the Group, please enter the name of your data supplier(s) below:

Name of supplier(s):
Upon successful completion of this market data agreement, you will be liable under the attached terms and conditions as a Redistributor of LSE Data. Please note that an explanation of the redistribution licences is available in Schedule B. Please select the licences required from the matrix below:

Licences	Level 1	Level 2
Data		
UK market Data **(*)**	☐	☐
UK market Data (Private Investors only) **(*)**	☐	☐
European market Data **(*)**	☐	☐
International market Data **(*)**	☐	☐
International market Data (Private Investors only) **(*)**	☐	☐
ETF / ETP	☐	☐
AIM	☐	☐
TRADEcho	☐	☐
Order Book for Retail Bonds (ORB)	☐	☐
CurveGlobal Interest Rate Derivatives	☐	☐
Unlimited Private Investor Licence		☐
Post-trade data		
UK Post- trade data	☐	
European Post- trade data	☐	
International Post -trade data	☐	

Last Trade Price	☐	
Price Per Request (PPR)	☐	
PDAs over GPRS Networks	☐	
Live Ticker for Issuers	☐	
TV Ticker	☐	
Calculation and distribution of indices/benchmarks - UK market Data Service Number of End Customers: 1-10 (including public website distribution) 11-50 51-100 101-250 251-400 401-700 >700	 ☐ ☐ ☐ ☐ ☐ ☐ ☐	
Calculation and distribution of indices/benchmarks – International market Data Service Number of End Customers: 1-10 (including public website distribution) 11-50 51-100 101-250 251-400 401-700 >700	 ☐ ☐ ☐ ☐ ☐ ☐ ☐	
Calculation and distribution of Derived Data other than indices/benchmarks UK market Data Service Number of End Customers: 1-10 11-50 51-250 >250	 ☐ ☐ ☐ ☐	
Calculation and distribution of Derived Data other than indices/benchmarks International market Data Service Number of End Customers: 1-10 11-50 51-250 >250	 ☐ ☐ ☐ ☐	

Alternative Trading Platform Licence	Raw Data ATP	Derived Data ATP	Level 1	Level 2
UK market Data International market Data	☐ ☐	☐ ☐	☐ ☐	☐ ☐
Number of End Customers: 1-500 501-2000 2001-5000 5001-10000 > 10000		 ☐ ☐ ☐ ☐ ☐		

Investor Relations (IR) Licence	☐ number of IR Websites ______

(*) Please tick the applicable box below if you:
☐ Were a Redistributor of London Stock Exchange Market Data to Private Investors in 2020
☐ Are a new Redistributor of London Stock Exchange Market Data to Private Investors in 2021

Please select the **Delayed Data** or **After Midnight Data** licences required from the matrix below:

Licences	Level 1	Level 2
Delayed Data (includes both UK market Data and International market Data)	☐	☐
After Midnight Data (includes both UK market Data and International market Data)	☐	☐

9.0 Live Date

If the live date is not the signatory date of this market data agreement, please specify a live date in the following field:

10.0 Special Instructions

Please provide details of any special instructions in the space below:

Terms of Payment

BANK TRANSFER	☐
SEPA DIRECT DEBIT	☐

11.0 Authorisation

We understand and agree that all services provided hereunder are subject to the Terms and Conditions and the Schedules which form part of this agreement: ☐

Signed on and behalf of the Customer:	
Position:	
Name:	Date:

Confirmation (for Group use only)

Your order is hereby confirmed and accepted.

Signed for and on behalf of the London Stock Exchange:	
Name:	Date:

Appendix A: Real Time Data Enablements

If you wish to receive data directly from LSE you must complete this section by selecting the data you require from the following list:

Data Sets	**Level 1**	**Level 2**
UK market Data	☐	☐
European market Data	☐	☐
International market Data	☐	☐
Order Book for Retail Bonds	☐	☐
CurveGlobal Interest Rate Derivatives	☐	☐
TRADEcho	☐	

For information purposes, the map below correlates to the technical data sets for the services in Schedule B:

AppID	Description	Commercial Data Set
Level 2-MITCH		
A	FTSE 100 – Group A	UK market Data: Level 2
B	FTSE 100 – Group B	
C	FTSE 250	
D	Structured Products (ETFs, ETCs and Covered Warrants)	
E	Miscellaneous	
F	European	European market Data: Level 2
G	International	International market Data: Level 2
Group Ticker Plant		
Market Data Group (Primary feed)	**Market Data Group (Secondary feed)**	
A	FTSE 100	UK market Data Level 1, Level 2
B	FTSE 100	
C	FTSE 250	
D	Structured Products (ETFs, ETCs and Covered Warrants)	
E	Miscellaneous	
F	European	European market Data Level 1, Level 2

G	International	International market Data Level 1, Level 2
Z	TRADEcho	TRADEcho

Description		Commercial Data Set
HSVF		
CurveGlobal Interest Rate Derivatives		CurveGlobal Interest Rate Derivatives Data Level 1, Level 2

Contact Details

Market Data Administration
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London
Stock Exchange Group