

**Monte Titoli S.p.A.**  
**Fiscal Operational Guide: UNITED STATES – appendix 2**  
**Version: November 2019**

US – MT551

**PROCEEDS ON US SECURITIES – RATE ADJUSTMENT REQUEST**

**1. Monte Titoli participant**

<b>MT's Securities Account Number</b>			
<b>QI EIN / FTIN: <sup>1</sup></b>			
<b>Contact Name</b>		<b>Phone</b>	
		<b>Email</b>	

**2. Financial instrument**

<b>ISIN Code</b>		<b>Description</b>	
<b>MT's CA number</b>		<b>Pay Date</b>	

**3. Instructions**

<b>Quantity <sup>2</sup></b>		
<b>Adjustment Amount</b>		
<b>Details</b>	<b>Old</b>	<b>New</b>
<b>Withholding tax rate</b>		
<b>Beneficiary <sup>3</sup></b>		
<b>Exemption code <sup>4</sup></b>		

The Participant declares that it has obtained from the beneficial owners all relevant waivers or consents related to secrecy and confidentiality of the data communicated to Monte Titoli herewith, according to the applicable data protection law.

Date: \_\_\_\_\_

Authorised Signature/s: \_\_\_\_\_

<sup>1</sup> Please input the QI-EIN or the FTIN sent to MT on the form W-8IMY.

<sup>2</sup> Please input the quantity object of the request.

<sup>3</sup> Please input the tax identification number sent to Monte Titoli on the forms W-8BEN-E / W-8EC I /W-8EXP (FTIN) or W9 (SSN/EIN), if necessary.

<sup>4</sup> Please input the exemption code provided on the instruction of form 1042-S only if in column "New" the withholding tax rate is zero and any Beneficiary has not been reported.