

Monte Titoli S.p.A.
Fiscal Operational Guide: UNITED STATES – appendix 1
Version: November 2019

US – MT550

PROCEEDS ON US SECURITIES – WITHHOLDING STATEMENT¹

1. Monte Titoli participant

MT's Securities Account Number			
QI EIN / FTIN:²			
Contact Name		Phone	
		Email	

2. Financial instrument

ISIN Code		Description	
MT's CA number		Pay Date	
Quantity / Nominal amount³			

3. Instructions

Withholding tax rate⁴	Quantity / Nominal amount	Beneficiary⁵ (FTIN/SSN/EIN)	Exemption Code⁶

The Participant declares that it has obtained from the beneficial owners all relevant waivers or consents related to secrecy and confidentiality of the data communicated to Monte Titoli herewith, according to the applicable data protection law.

Date: _____

Authorised Signature/s: _____

1 The withholding statement must be filled in only by QI (with the exception of QI with full responsibilities) and NQI. In absence of the form until the requested deadline, Monte Titoli will apply the maximum withholding rate.

2 Please input the QI-EIN or the FTIN sent to MT on the form W-8IMY.

3 Please input the total quantity / nominal amount of securities.

4 Please input the withholding tax rate to be applied on the payment.

5 Please input the Tax Identification Number of the Beneficiary sent to Monte Titoli on the forms W-8BEN / W-8BEN-E / W-8ECI / W-8EXP / W9 as follow:

1. QI without any responsibilities: mandatory for US persons;
2. QI with NRA responsibility: mandatory for US persons;
3. NQI: mandatory for US persons and NRA beneficiaries.

6 Please input one of the exemption code provided on the instruction of form 1042-S if the field withholding tax rate is zero and any Beneficiary has not been reported.