

Regulatory Reporting Solutions

Eligibility service

Manage your reporting obligations for individual authorities based on your specific criteria, reduce errors and remedial actions, and strengthen your control framework with our Eligibility solutions.

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Challenges

Under MiFIR RTS 22 (Article 15), firms must ensure they have adequate controls in place to ensure reporting is complete and accurate. This includes having measures to identify and submit reportable transactions to the relevant regulatory authority to avoid under and over-reporting scenarios.

The process of providing reliable data to determine whether a transaction should be reported can sometimes be hard to manage, while Brexit has added some complexities, particularly for firms reporting to both the FCA and an EEA national competent authority (NCA).

Our solution

Our Eligibility service offers two solutions to address these challenges:

- Reference data files that can be used within your firm's own framework
- An additional Eligibility Rules Engine module for firms that require further assistance

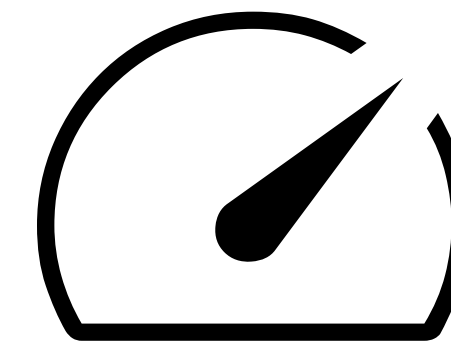
Our solution



Reference data files

Our reference data files help firms to determine MiFIR trade eligibility and enrich your transaction reports with all reference data elements required under RTS 22.

The files can be used to consume data into your firm's own infrastructure, enriching and pre-filtering your data prior to submitting it to the Approved Reporting Mechanism ('ARM'). We now maintain two sets of eligibility files: one for UK-based firms reporting to the FCA; and one for EEA-based firms reporting to an EEA NCA. You can elect to take one or both file sets, depending on your firm's setup.



Eligibility Rules Engine service

Our Eligibility Rules Engine service is an advanced module that complements your firm's control framework. It allows firms to pre-check trades before they are reported to an ARM ensuring the correct instruments are being reported, with errors due to over-reporting identified and blocked from submission. The Rules Engine service supports both UK and EU eligibility checking.

Key benefits

Regulatory Reporting Solutions helps firms manage their reporting obligations by simplifying controls and providing access to unique data. This can reduce the number of errors flagged by NCAs and subsequent remedial action that would be required for incorrect reporting.

01

Ensure the correct data set is sent to the ARM

02

Reduce the number of authority rejections based on the seven-day eligibility check

03

Increase the rate of correct first-time submissions

04

Reduce the number of erroneous submissions

05

Demonstrate control processes for managing reporting

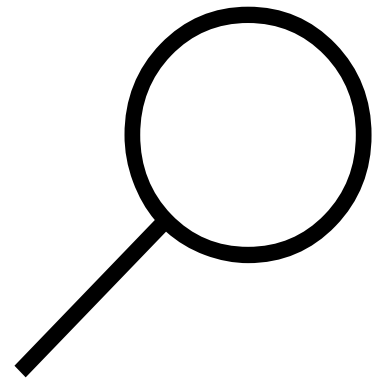
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Manage eligibility updates easily and simply

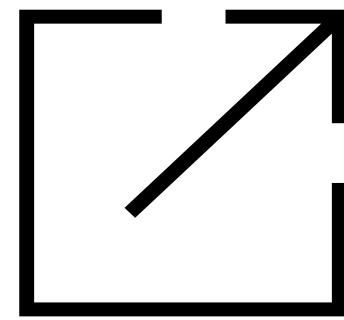
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Use flexibly with your in-house solution or with our Rules Engine

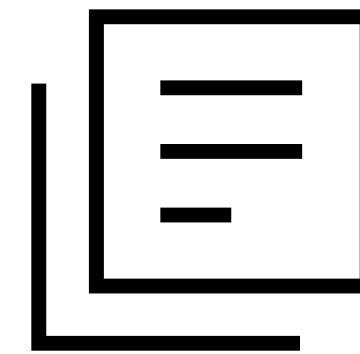
Features



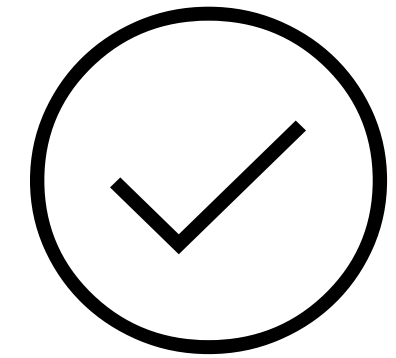
Gain a simplified view of your data, with easily identifiable blocking reasons



Tailor Rules Engine logic to your firm's specific needs



Create specific rules per individual NCAs



Satisfy multiple post-Brexit reporting obligations in a single solution

Trusted insights, smarter reporting

Through a range of streamlined regulatory reporting, data, and analytics solutions, we help you reduce operational and regulatory risk and derive greater value from your reporting.

Why choose us?

With years of industry expertise, LSEG helps firms simplify compliance with reporting regulations in jurisdictions globally and delivers workflow automation and easy onboarding, allowing firms to turn regulation into an opportunity.

LSEG ARM customers can start benefiting from Eligibility service immediately. To find out how, please [contact our sales team](#) or complete the contact form on our [website](#).

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