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# Equities

## Schedule of Authorised Persons



Should an individual contact Turquoise Market Operations, on behalf of the member firm, they will be subject to an identity check. Please indicate in the list below the names and departments of the individuals appointed by the member and the authorisations they are entitled to carry out.

- A.** Indicates the individual is authorised to request all services e.g. (but not limited) to order book consultation, global/single order cancellation, trade information;
- B.** Indicates the individual is authorised to request 'information' on trades only;
- C.** Indicates that the individual is an IT contact who is able to request password resets to login to the Turquoise Trading System;
- D.** Indicates the individual is permitted to provide information regarding restricted and de-restricted stocks for the applicant firm to Turquoise Market Operations.

Member Name:	<i>Type here</i>
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Name	Authorisation	Department	Email Address	Telephone Number	ADD / DELETE



Name	Authorisation	Department	Email Address	Telephone Number	ADD / DELETE

*PLEASE USE A CONTINUATION SHEET IF REQUIRED*

The form should be completed by the compliance department at the Turquoise Member and returned to:

Turquoise Market Operations, 10 Paternoster Square, London EC4M 7LS

Email: [market.operations@tradeturquoise.com](mailto:market.operations@tradeturquoise.com)

\_\_\_\_\_  
**Signatory Name:**

\_\_\_\_\_  
**Position:**

\_\_\_\_\_  
**Signature:** **Date:**

If Turquoise accepts a request to delete orders it will endeavour to do so promptly. Turquoise is not liable for any losses incurred by a member (caused by negligence of Turquoise or otherwise) as a result of Turquoise either deleting, or failing to delete or delaying the deletion of orders on the order book.



**London**  
 Stock Exchange Group