

# NOMINATED ADVISER

## Reduction in Executives Form – NA3

This form is to be completed pursuant to the AIM Rules for Nominated Advisers by a duly authorised officer of the nominated adviser when any of a nominated adviser's qualified executives leave its full-time employment.

Full name of nominated adviser:

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If you would like to discuss an executive application or the criteria in more detail, please contact AIM Regulation at [aimregulation@lseg.com](mailto:aimregulation@lseg.com) or on +44 (0)20 7797 4154.

1. Full name of departing executive(s):

Name:	Date of departure:

2. Confirmation of remaining Qualified Executives:  
(Please complete on a separate page if necessary)


Signed:

Date:

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Please return an electronic version of this form to: [aimregulation@lseg.com](mailto:aimregulation@lseg.com)

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