

Participant firm name	
Contact name	
Contact phone	
Contact email	
Software name	
Software version	
Uncoordinated test date/time from	DD/MM/YYYY 00:00
Uncoordinated test date/time to	DD/MM/YYYY 00:00
Coordinated test date/time from **	DD/MM/YYYY 00:00
Coordinated test date/time to **	DD/MM/YYYY 00:00

Comp IDs tested	
FIX:	
Native:	
Post trade:	
Drop copy:	
GTP replay:	
GTP snapshot:	



NB: Customers should perform all test cycles supported by their software application

Activity	Test case ID	To be filled in by customer			To be filled in internally
		Supported functionality (Y/N)	Completed on London Stock Exchange	Customer comments	Market access comments
<b>Market data</b>	GTP replay request	MIT-005			
	GTP snapshot request	MIT-006			
<b>Trading and order management (FIX and native)</b>	Auction – limit orders	MIT-100			
	Auction – market order	MIT-101			
	Market order	MIT-102			
	Limit passive-only order	MIT-103			
	Aggressive limit order	MIT-104			
	Limit passive order	MIT-104A			
	ATC order with offset	MIT-105			
	Order modification – ATC offset order	MIT-105A			
	Order generated by an algorithm	MIT-106			
	Order generated as part of liquidity provision activity	MIT-107			
	Order generated via DEA	MIT-108			
	Mid-point pegged order	MIT-109			
	Stop order	MIT-110			
	Stop limit order	MIT-111			
	Hidden order	MIT-112			
	Iceberg order	MIT-113			
	Randomized iceberg orders	MIT-114			
	Named order	MIT-115			
	Order modification	MIT-116			
	Order cancel	MIT-117			
	Internal cross orders *	MIT-118			
	Internal BTF orders *	MIT-119			
	Committed cross orders deletion *	MIT-120			
	Committed BTF orders deletion *	MIT-121			
	Committed cross orders **	MIT-122			
	Committed BTF orders **	MIT-123			
	Cancel on book trade (same day)	MIT-124			
	Cancel on book trade (previous day)	MIT-125			
	Submit RFQ (manual model) – requestor	MIT-126			
	Submit RFQ (auto-complete model) – requestor	MIT-126A			
	Submit RFQ with market maker ranking – requestor	MIT-126B			
	Response to RFQ	MIT-127			
	Requester hits/lifts a RFQ quote (manual model only)	MIT-127A			
	Cancel on book trade (same day) – RFQ	MIT-128			
	Cancel on disconnect	MIT-129			
	Cancel client's order **	MIT-130			
	Cancel client's quote **	MIT-131			
	Cancel client's trade **	MIT-132			
	New executable quote	MIT-133			
	Modify executable quote	MIT-134			
	Cancel executable quote	MIT-135			
	New firm quote (FIX trading only)	MIT-136			
Modify firm quote (FIX trading only)	MIT-137				
Cancel firm quote (FIX trading only)	MIT-138				
Mass cancellation	MIT-139				
Missed message request – native only	MIT-140				
<b>Post-trade gateway validation</b>	Establish logon for test cycle	MIT-200			
	Own trade book download	MIT-201			
<b>Drop copy gateway validation</b>	Establish logon for test cycle	MIT-300			
	Own order book download	MIT-301			
<b>All gateways</b>	Error handling **	MIT-400			
	Password change	MIT-500			
<b>FIX session level testing</b>	Heartbeats exchanged **	MIT-501			
	Sequence reset **	MIT-502			
	Sequence reset at logon **	MIT-503			
	Resend request **	MIT-504			

\* If this functionality is supported for London Stock Exchange, then test cases MIT-076 and MIT-077 must also be completed.

\*\* Coordinated test with London Stock Exchange

Customer sign off.

In order to maintain an orderly and compliant market, the technical activity of client connections are constantly monitored. London Stock Exchange Group retains the right through the rulebook and contractual agreements to disconnect customers if any inappropriate behaviour is observed.

The details and content in this report have been provided accurately and honestly by the customer and confirms the behaviour of the application in its production state.

The client hereby agrees with LSEG's Customer Connectivity Policy detailed in the below link for the named applications behaviour in the production environment.

<http://www.londonstockexchange.com/products-and-services/technical-library/technical-guidance-notes/technical-guidance-notes.htm>