Pegasus

ISIN application checklist
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Requestor details
– Company’s registered name
– Company’s registered address
– Professional body by which you are regulated
– Professional body registration number
– Are you the issuer or a parent company?
– Letter granting authority from the issuer, signed by the directors of the issuing company

Intermediaries’/advisors’ details
– Company’s registered name
– Company’s registered address
– Professional membership body
– Professional membership body registration number

Issuer or parent company information
– Company’s registered name
– Company’s registered address
– Professional body registration number
– Beneficial owner’s details
– Percentage owned by beneficial owner

Mandatory documentation
– Prospectus/term sheet/KIID, etc …
– Company certificate of incorporation
– Statement of issued share capital
– Memorandum of Association, Articles of Association or Model Articles

Security specific details including
– Is an ISIN required for listing?
– Is this issue regulated by a recognised financial authority?
– Is it a private placement?
– Is an ISIN required for electronic settlement?
– Type of security
– Have ISINs previously been allocated to this issuer by the London Stock Exchange Plc?
– Can this ISIN be made public?
– Where is the security being deposited?
– Security Form: Registered/Bearer
– Original place of listing or, in the absence of thereof, the place of trade
– Market Identification Code (MIC – ISO10383)